

PHYS 414: 4-29-20

$$\hat{\rho}(t + \delta t) = \sum_{\gamma=1}^{N^2} \hat{M}_\gamma \hat{\rho}(t) \hat{M}_\gamma^\dagger$$

GOAL:  $\hat{\rho}(t + \delta t) = \hat{\rho}(t) + \text{~~~~~} \delta t$

$\Rightarrow \frac{\partial}{\partial t} \hat{\rho}(t) = \text{~~~~~}$  QU. master. equ.

keep track of low. order in  $\delta t$

special limiting case: turn off interact. b/t sys + env.  $\Rightarrow$  isolated qu. sys

$$\hat{\rho}(t + \delta t) = \hat{U}_s \hat{\rho}(t) \hat{U}_s^\dagger$$

sys. Hamilt.  $\hat{H}_s$  (time-ind. for simp.)

$$\Rightarrow \hat{U}_s = e^{-i\hat{H}_s \delta t / \hbar}$$

in this limit  $\hat{M}_1 = \hat{U}_s$ ,  $\hat{M}_\gamma = 0$   $\gamma > 1$

$$\begin{aligned} \hat{\rho}(t + \delta t) &= (\mathbb{I} - i\hat{H}_s \delta t / \hbar + \dots) \hat{\rho}(t) \\ &\quad \cdot (\mathbb{I} + i\hat{H}_s \delta t / \hbar + \dots) \\ &= \hat{\rho}(t) - \frac{i}{\hbar} [\hat{H}_s, \hat{\rho}(t)] \delta t + \dots \end{aligned}$$

$$\Rightarrow \frac{\partial \hat{\rho}}{\partial t} = -\frac{i}{\hbar} [\hat{H}_s, \hat{\rho}] \quad \begin{array}{l} \text{qu. master equ. for an} \\ \text{isolated sys.} \\ (\text{von Neumann equation}) \end{array}$$

Put interact. w/ env. back on:

$$\hat{M}_1 \approx \mathbb{I} - i\frac{\hat{H}_s \delta t}{\hbar} + \underbrace{\hat{K} \delta t}_{\text{correction due to env. interactions at order } \delta t}$$

correction due  
to env. interactions  
at order  $\delta t$

$$\gamma > 1 : \hat{M}_\gamma = \sqrt{\delta t} \hat{L}_\gamma \quad \text{note: when env. is turned off } \hat{L}_\gamma, \hat{K} \rightarrow 0$$

demand (to order  $\delta t$ ) :

$$\begin{aligned} \hat{I} &= \sum_\gamma \hat{M}_\gamma^+ \hat{M}_\gamma \\ &= \hat{I} + \delta t \left[ 2\hat{K} + \underbrace{\sum_{\gamma>1} \hat{L}_\gamma^+ \hat{L}_\gamma}_{=0} \right] + \dots \\ \Rightarrow \hat{K} &= -\frac{1}{2} \sum_{\gamma>1} \hat{L}_\gamma^+ \hat{L}_\gamma \end{aligned}$$

Plug back into Kraus form for  $\hat{\rho}(t+\delta t)$ :

end-result:

Lindblad equation (1976):

general quantum master equation

$$\begin{aligned} \frac{\partial \hat{\rho}}{\partial t} &= -\frac{i}{\hbar} [\hat{H}_S, \hat{\rho}] \\ &+ \sum_{\gamma>1} \left( \hat{L}_\gamma \hat{\rho} \hat{L}_\gamma^+ - \frac{1}{2} \hat{L}_\gamma^+ \hat{L}_\gamma \hat{\rho} - \frac{1}{2} \hat{\rho} \hat{L}_\gamma^+ \hat{L}_\gamma \right) \end{aligned}$$

effects of env. interactions  
on time evol. of  $\hat{\rho}$

$N^2-1$  operators  $\hat{L}_\gamma \Rightarrow$  Lindblad operators

Want: intuitive physical interpretation of  $\hat{L}_\gamma$

To get there note the following:

Lindblad equ. structure is invariant under these transformations:

i)  $\hat{L}_\gamma \rightarrow \hat{L}'_\gamma = \sum_\alpha U_{\gamma\alpha} \hat{L}_\alpha$  where  $U$  is a  $(N^2-1) \times (N^2-1)$  unitary matrix

$$\text{ii) } \hat{L}_\gamma \rightarrow \hat{L}'_\gamma = L_\gamma + c_\gamma \quad \text{where } c_\gamma = \text{complex number}$$

$$\hat{H}_s \rightarrow \hat{H}'_s = \hat{H}_s - \frac{i\hbar}{2} \sum_{\gamma>1} (c_\gamma^* \hat{L}_\gamma - c_\gamma \hat{L}_\gamma^+)$$

Hermitian

Turns out that because of these freedoms, we can transform to a set of  $\hat{L}'_\gamma$  w/ following properties:

$$\text{tr}(\hat{L}_\gamma) = 0 \quad \text{for } \gamma > 1 \quad [\text{by choosing } c_\gamma]$$

$$\text{tr}(\hat{L}_\gamma \hat{L}_\alpha^+) = \alpha_\gamma \delta_{\gamma\alpha} \quad [\text{by choosing } U]$$

$$\text{tr}(\hat{A} \hat{B}^+) = \text{Hilbert-Schmidt inner product b/t operators}$$

where  $\alpha_\gamma \geq 0$  orthog. under Hilbert-Schmidt

$$\text{Let's write } \hat{L}_\gamma = \sqrt{\alpha_\gamma} \hat{\Lambda}_\gamma \quad \text{tr}(\hat{\Lambda}_\gamma \hat{\Lambda}_\alpha^+) = \delta_{\gamma\alpha}$$

$$\text{tr}(\hat{\Lambda}_\gamma) = 0$$

there is a unique set of  $\hat{\Lambda}_\gamma$  satisfying this:

N-dim basis : two types of  $\hat{\Lambda}_\gamma$  i)  $\hat{\Lambda}_\gamma = |i\rangle\langle j| \quad i \neq j$

*prefactors*

$$\left\{ \begin{array}{l} \alpha_\gamma \equiv w_{ij} \\ \alpha_\gamma = \Gamma_i \end{array} \right.$$

encode the details of inter. w/ env.

"jump" operators  $j \rightarrow i$

*i = 2, 3, ..., N*

"dephasing" operators

*N-1 diff. kinds*

ii)  $\hat{\Lambda}_\gamma = \frac{\hat{I} - 2|i\rangle\langle i|}{\sqrt{N}}$

concrete example: spin  $\frac{1}{2}$  particle interacting w/ env.

$$N=2 \quad |1\rangle = |1\rangle \\ |1\rangle = |2\rangle$$

$N^2 - 1 = 3$  Lindblad operators:

$$\hat{\Lambda}_1 = |1\rangle \langle 2| = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$$

$$\hat{\Lambda}_2 = |2\rangle \langle 1| = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

$$\hat{\Lambda}_3 = \frac{1}{\sqrt{2}} (|1\rangle \langle 2| - 2|2\rangle \langle 1|) = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Let's denote  $a_1 = W_{12}$   $a_2 = W_{21}$   $a_3 = \Gamma_2$

$$\hat{L}_1 = \sqrt{W_{12}} \hat{\Lambda}_1 \quad \hat{L}_2 = \sqrt{W_{21}} \hat{\Lambda}_2 \quad \hat{L}_3 = \sqrt{\Gamma_2} \hat{\Lambda}_3$$

Choose our basis  $\{|i\rangle\}$  to be basis where  $\hat{H}_s$  is diagonal:  $\hat{H}_s = \begin{pmatrix} E_1 & 0 \\ 0 & E_2 \end{pmatrix}$  for  $N=2$

a) take  $\langle i | \frac{\partial \hat{\rho}}{\partial t} | i \rangle = \langle i | \underset{\text{equ.}}{\text{Lindblad}} | i \rangle$

$$\text{algebra} \Rightarrow \frac{\partial \rho_{ii}}{\partial t} = \sum_j \left( \underset{\text{gain}}{W_{ij} \rho_{jj}} - \underset{\text{loss}}{W_{ji} \rho_{ii}} \right)$$

$$\hat{\rho} = \begin{pmatrix} \text{---} & \text{---} \\ \text{---} & \text{---} \end{pmatrix}$$

diag. components of  $\hat{\rho}$  obey  
 a classical master equ w/  
 $\rho_i$  replaced by  $\rho_{ii}$

$$N=2: \frac{\partial}{\partial t} \begin{pmatrix} \rho_{11} \\ \rho_{22} \end{pmatrix} = \underbrace{\begin{pmatrix} -W_{21} & W_{12} \\ +W_{21} & -W_{12} \end{pmatrix}}_{\Omega \text{ matrix}} \begin{pmatrix} \rho_{11} \\ \rho_{22} \end{pmatrix}$$

vector of diag comp.  $\begin{pmatrix} \rho_{11} \\ \rho_{22} \end{pmatrix}$  behaves like classical prob.

Sol'n to N=2:  $\rho_{ii}(t) = \rho_{ii}^s + (\rho_{ii}(0) - \rho_{ii}^s) e^{-t/T_1} \quad i=1,2$

$$\textcircled{1} \xleftrightarrow[W_{12}]{W_{21}} \textcircled{2} \quad \rho_{11}^s = \frac{W_{12}}{W_{12} + W_{21}} \quad \rho_{22}^s = \frac{W_{21}}{W_{12} + W_{21}}$$

related to  
Bloch  
eqn's

in NMR  
or MRI

famous  $T_1$

time

$$T_1 = \frac{1}{W_{12} + W_{21}}$$

relaxation time to  
"equilibrium"

as  $t \rightarrow \infty, \rho_{ii}(t) \rightarrow \rho_{ii}^s$  stationary  
 $t \gg T_1$  probabilities

analogously  $\langle i | \frac{\partial \hat{P}}{\partial t} | j \rangle = \langle i | \dots | j \rangle$   $i \neq j$

$$\Rightarrow \frac{\partial \rho_{ij}}{\partial t} = (-i\omega_{ij} - \gamma_{ij}) \rho_{ij}$$

$$\omega_{ij} = \frac{E_i - E_j}{\hbar} \quad \gamma_{ij} = \frac{1}{2} \sum_k (W_{kj} + W_{ki}) + 2(\Gamma_i + \Gamma_j) \geq 0$$

$$\rho_{ij}(t) = e^{-i\omega_{ij}t} e^{-\gamma_{ij}t} \rho_{ij}(0)$$

for no env. interactions $W_{ij}, \Gamma_i \rightarrow 0 \Rightarrow \gamma_{ij}=0$		w/ env. interact. $\gamma_{ij} > 0$ $\Rightarrow \rho_{ij}(t) \rightarrow 0$ as $t \rightarrow \infty$
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$\Rightarrow$  Decoherence under env. interactions

$$N=2 : \rho_{12}(+) = e^{-\frac{i}{\hbar}(E_1 - E_2)t} e^{-t/T_2} \rightarrow 0 \quad \text{for } t \gg T_2$$

$$T_2 = \frac{1}{\gamma_{12}} = \left( 2\Gamma_2 + \frac{W_{12} + W_{21}}{2} \right)^{-1}$$

decoherence time

typically  $T_2 \ll T_1$  : for  $H^+$  in protein

$$T_1 \sim 250 \text{ ms}$$

$$T_2 \sim 0.1-1 \text{ ms}$$

for timescales  $t \gg T_2$  we have class. master equ. dynamics

in NMR we can use ext. field to initialize ensemble

$$\hat{\rho}(0) = |1\rangle\langle 1| = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{all spins } \uparrow \text{ in ensemble}$$

$\underbrace{\phantom{0}}_{\downarrow}$  time  $\gg T_1, T_2$

$$\hat{\rho}(+) = \rho_{11}^S |1\rangle\langle 1| + \rho_{22}^S |2\rangle\langle 2| = \begin{pmatrix} \rho_{11}^S & 0 \\ 0 & \rho_{22}^S \end{pmatrix}$$

$$S(\hat{\rho}(0)) = 0 \rightsquigarrow S(\hat{\rho}(+))$$

$$= -\rho_{11}^S \ln \rho_{11}^S - \rho_{22}^S \ln \rho_{22}^S > 0$$

Missing links:

MR relation

$$\frac{W_{12}}{W_{21}} = e^{-\beta(E_1 - E_2)}$$

coupled to ext. degrees of freedom for work

$$= e^{-\beta(E_1 - E_2 + \dots)}$$

no known purely quantum derivation of  
the MR + its generalizations

(recall that classically we derived these  
using ergodicity + mixing of total = sys + env.)

Duet tape sol'n: describe total = sys + env classically  
get MR

$$\Rightarrow p_{11}^s = \frac{e^{-\beta E_1}}{Z} \quad p_{22}^s = \frac{e^{-\beta E_2}}{Z}$$

recover classical Boltzmann  
prob. for diag. elements of  $\hat{\rho}$